

DEP/RCT  
#807



Practitioner's Docket No. 07450002PA

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: T. Hagan, et al.  
Application No.: 09 / 747,331 Group No.: 2132  
Filed: 12/21/00 Examiner: B.E. Lanier  
For: Privacy and Security Method and System for a World-Wide-Web Site  
☐ Patent\*: Issued:

\*NOTE: Insert name(s) of inventor(s) and title also for patent. Where request is with respect to a maintenance fee payment also insert application number and filing date and add Box M. Fee to address.

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

I. REFUND REQUEST

This is a request for a refund, with respect to the charge to Deposit Account  
50-2041, shown on the statement dated 12/30/04, for the  
above-identified

- ☒ application.
- ☐ patent.

(check the following, if desired, and supply copy of statement)

- ☒ A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

☐ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

☐ transmitted by facsimile to the Patent and Trademark Office.

Adjustment Date: 06/16/2005 SDIRETA1  
12706/2004 EWARREN 00000001 502041 09747331  
01 FC:1201 86.00 CR  
02 FC:1202 18.00 CR

Signature \_\_\_\_\_

(type or print name of person certifying)

POST AVAILABLE COPY

## II. FEES CHARGED FOR WHICH REFUND REQUESTED

### AMOUNT OF REFUND REQUESTED

- ☐ Filing fee
- ☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 C.F.R. § 1.16(e))

and/or

- ☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))
- ☐ Extension of term
- ☐ first month
  - ☐ second month
  - ☐ third month
  - ☐ fourth month
  - ☐ fifth month

- ☒ Excess claims
- ☐ Issue fee
- ☐ Petition fee
- ☐ Patent maintenance fee
- ☐ first maintenance fee
  - ☐ second maintenance fee
  - ☐ third maintenance fee
- ☐ Patent maintenance fee surcharge
- ☐ Other \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

\$104.00

TOTAL REFUND REQUESTED

2-3: AVAILABLE COPY

(Request for Refund (Improper Charge of Credit Card Account) [19-4]—page 2 of 3)

NOT AVAILABLE COPY

### III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

A Preliminary Amendment was filed on February 4, 2002 and a check in the amount of \$60.00 was attached. Enclosed is a copy of the postcard receipt and a copy the check stub dated 2/4/02, check no. 2384 in the amount of \$60.00 which accompanied the Preliminary Amendment - 2 new claims were added, i.e., claim 80 (Independent) and claim 81 (dependent). In an Office Action mailed 8/12/04 (restriction requirement) the Examiner failed to consider the Preliminary Amendment filed on 2/4/02. A Response to the Restriction Requirement with a copy of the previously filed Preliminary Amendment (of 2/4/02) with postcard receipt was filed on September 13, 2004. The Examiner subsequently issued another restriction requirement (mailed 12/23/04) which included claims 80 and 81. A response to this restriction requirement was filed on 12/30/04 electing claims 80 and 81.

Therefore a refund of \$104.00 is respectfully requested.

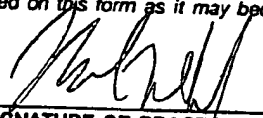
### IV. MANNER OF REFUND

Please make refund by

- ☒ crediting Account No. 50-2041
- ☐ crediting credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should not be included on this form as it may become public.

- ☐ refunding payment.

  
SIGNATURE OF PRACTITIONER

Michael E. Whitham  
(type or print name of practitioner)  
Whitham, Curtis & Christofferson, PC  
11491 Sunset Hills Road - #340  
P.O. Address  
Reston, VA 20190

Reg. No.: 32,635

Tel. No.: ( 703 ) 787-9400

Customer No.: 30743



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

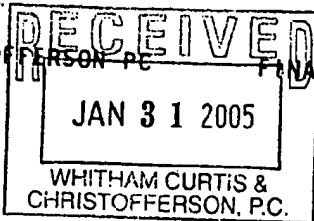


BEST AVAILABLE COPY

**MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT**

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

WHITHAM, CURTIS & CHRISTOFFERSON, P.C.  
CATHERINE RICHARDSON  
11491 SUNSET HILLS ROAD  
SUITE 340  
RESTON VA 22190



Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

Account No.	502041
Date	12-30-04
Page	1

**PLEASE SEND REMITTANCES TO:**  
U. S. Patent and Trademark Office  
P.O. Box 70541  
Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
12	6	04	1	09747331	request refused	84415.4008	1201	
12	6	04	2	09747331	request refused	84415.4008	1202	86.00
12	10	04	176	6455151	chgs 05, unbilled	02410186AA	8013	18.00
12	10	04	9	60634080	PW chgs unbilled	05900018PR	2005	25.00
12	10	04	84	10263340	billed inv. 15918	02410277AA	8001	20.00
12	10	04	146	11006559	billed inv. 15924	00380380AA	1111	30.00
12	13	04	148	11006559	billed inv. 15924	00380380AA	1311	10.00
12	13	04	15	PAYMENT				200.00
12	13	04	17	10972719	credit - cc	05510012AA	9203	-12000.00
12	15	04	100	60519269	03100190PR &	0310087 PR	1201	-106.00
12	15	04	101	60509942	03100190PR &	0310087 PR	8007	20.00
12	16	04	165	10517386	request refused	08940004AA	8007	20.00
12	17	04	39	10934093	credit	07350032AA	2615	25.00
12	17	04	40	10934093	credit	07250030AA	9204	-80.00
12	17	04	53	10948845	credit	07250034AA	9204	-130.00
12	17	04	54	10948845	credit	07250034AA	9204	-80.00
12	22	04	107	60636868	credit	01640442 PR	9204	-130.00
								-20.00
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT				OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE	
				14818.00	454.00	12546.00	26910.00	

MS020A (2/2003)

\*\*\* O.D. INDICATES OVERDRAWN



AVAILABLE COPY

Docket No. \_\_\_\_\_ In re: ☒ patent/ \_\_\_\_\_ trademark application of

Applicant(s) T. Hagan et al

Serial No. 09/747331 Date Filed 12-21-00

Papers filed herewith on 2-4-02

☒ Fees \$ \_\_\_\_\_ Deposit Account No. (if applicable) \_\_\_\_\_  
( \_\_\_\_\_ filing fee; \_\_\_\_\_ Assignment charge; \_\_\_\_\_ Extension of Time;  
\_\_\_\_\_ issue fee/advance copies; 600 other addl charge  
C.K. # 2354

\_\_\_\_\_ Amendment \_\_\_\_\_ Notice of Appeal \_\_\_\_\_ Appeal Brief  
\_\_\_\_\_ Sheets of \_\_\_\_\_ Drawings \_\_\_\_\_ Proposed Drawing Corrections (w/ \_\_\_\_\_ drawings)  
\_\_\_\_\_ Change of Address \_\_\_\_\_ Request for Extension of Time  
\_\_\_\_\_ Assignment \_\_\_\_\_ Recordation Form Cover Sheet  
\_\_\_\_\_ Information Disclosure Statement \_\_\_\_\_ PTO-1449 and associated art ( \_\_\_\_\_ docs.)  
\_\_\_\_\_ Priority Document(s) ☒ Other \_\_\_\_\_

Preliminary Amendment  
Power of Attorney  
Commitment

07452002PA





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WHITHAM, CURTIS & CHRISTOFFERSON, P.C.  
OPERATING ACCOUNT

PTO Commissioner of Patents & Trademarks

2384

02/04/02

2384

61300 07450002PA OPER-CMA 60.00 2 additional claims with 1 indepe

60.00